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Attorney Docket No.: 12163

Section  
PATENT



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: William D. SWART, et al.

Examiner: n/a

Serial No.: 09/973,081 ✓

Art Unit: 2614

Filed: October 10, 2001

Title: VIDEO AND DIGITAL MULTIMEDIA ACQUISITION AND DELIVERY  
SYSTEM AND METHOD

**Box Missing Parts**

Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**  
**OF NONPROVISIONAL APPLICATION UNDER 37 CFR 1.53(b)**

Sir:

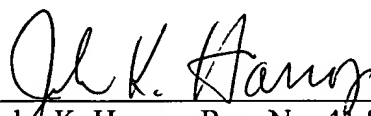
In response to the Notice To File Missing Parts dated October 25, 2001, please find enclosed the following:

- Fully executed Declaration and Power of Attorney in compliance with 37 CFR 1.63
- Late filing fee surcharge of \$130.00 for late submittal of Declaration in compliance with 37 CFR 1.16(I)
- Statutory basic filing fee \$740.00
- Copy of Notice To File Missing Parts Of Nonprovisional Application

The Commissioner is hereby authorized to charge the surcharge fee and the filing fee in the amount of **\$870.00** to Deposit Account No. **04-1425**. In addition, the Commissioner may charge any excess or insufficiency to the above deposit account number in connection with this communication. A duplicate copy of this letter is transmitted for that purpose.

Respectfully submitted,

Date: December 20, 2001

  
John K. Harrop, Reg. No. 41,817  
DORSEY & WHITNEY LLP  
1660 International Drive, Suite 400  
McLean, Virginia 22102  
(703) 288-5245  
(703) 288-5260 facsimile

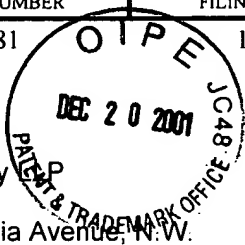


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/973,081	10/10/2001	William D. Swart	12163

Dorsey & Whitney LLP  
Suite 300 South  
1001 Pennsylvania Avenue, N.W.  
Washington, DC 20004



CONFIRMATION NO. 5256

## FORMALITIES LETTER



\*OC000000006968721\*

Date Mailed: 10/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

DOCKETED

A copy of this notice MUST be returned with the reply.

RECEIVED

OCT 29 2001

DORSEY &amp; WHITNEY LLP

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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740.00 CH  
130.00 CH